LISTY filologické FOLIA philologica

145 / 2022 / 3-4

Listy filologické CXLV, 2022, 3-4, pp. 263-306

THE PRAGMATICS OF THE PAST: A NOVEL TYPOLOGY OF CONDITIONALS WITH PAST TENSES IN ANCIENT GREEK

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1. Towards a pragmatic typology of conditionals in Ancient Greek

Ever since the seminal dissertation by Wakker, our understanding of the pragmatics of conditionals in Ancient Greek has been greatly improved. Previous research typically proposed form-based classifications of the prototypical conditional structures in Ancient Greek and consequently divided them according to their chance of fulfilment, e.g., in terms of mood used.¹ By contrast, Wakker convincingly argued that conditionals should be distinguished on the basis of the type of relation that they specify with regard to their matrix clause:²

- (i) *if it rains, I'll take an umbrella* ("predicational");
- (ii) if my mother is not mistaken, John is at home ("propositional");
- (iii) if you are thirsty, there is beer in the fridge ("illocutionary").³

¹ WAKKER 1994, 35-42. For an overview of the different theoretical approaches to conditionals, see WAKKER 2013.

 2 I use the term "matrix clause" here, since the matrix clause of a conditional sentence is not always the main clause itself.

³ See WAKKER 2013 for a compact overview.

In the first type, the realisation of the matrix clause (= apodosis), henceforth called q, depends on the realisation of the conditional clause (= protasis), henceforth called p.⁴ In the second, it is rather the truth of q that depends on p, instead of the actualisation of q. In the third type, the p clause formulates a condition of appropriateness or relevance for the utterance in the matrix clause. These three classes largely overlap with Sweetser's influential typology of conditionals into respectively *content*, *epistemic* and *speech act* conditionals.⁵

Ever since these important steps forward, very little research has concerned itself with the pragmatics of conditionals.⁶ In fact, when one opens the section on conditionals in the *Cambridge Grammar of Classical Greek*, the classification that is given is one mainly based on the chance of fulfilment.⁷ The authors do briefly allude to Wakker's model when they subsequently qualify that some conditional protases rather concern the *truth* or *relevance* of the apodosis,⁸ but the classification of conditionals provided subsequently is mainly based on the chance of fulfilment. In addition, every section lists the mood combinations in each type (e.g., optative in conditional and matrix clause with potential conditions) after which a section follows on so-called mixed conditions within their classification.⁹ Traditional classifications such as Goodwin's used the notion of temporal reference as a main guide for classification.¹⁰

In this paper, I argue that an extension of the pragmatic approach advocated by Wakker's work provides a more fruitful and even more economical way of describing conditionals in Ancient Greek. First of all, a focus on the pragmatic functions of conditionals takes into account

⁴ For this convention, see WAKKER 1994, 24; DECLERCK - REED 2001, 10.

⁵ See Sweetser 1990, 113–121.

⁶ One obvious exception is WAKKER 2006a; WAKKER 2006b.

⁷ VAN EMDE BOAS et al. 2019, 550 (my emphasis): "Greek has a complex system of five basic types of conditional clauses: neutral, prospective, potential, counterfactual and habitual conditions. Each type expresses a different attitude of the speaker towards the likelihood of the condition in the protasis being fulfilled. Different moods and tenses are used in each of the different types."

8 Ibid. 551.

⁹ Similar to the list of mixed constructions list found in GOODWIN 1889, 188-195.

¹⁰ E.g., future-referring conditional or not, ibid. 139.

both the linguistic and the non-linguistic context rather than just form or temporal reference. Second, pragmatic types of conditionals cover multiple formal variations which despite the formal variation have a similar pragmatic function. As such, the pragmatic approach provides a more economic descriptive apparatus. Third, the same pragmatic types can have multiple different temporal references, as shown by the overview in Table 1 below. Even Wakker's pragmatic model cannot capture these different pragmatic types. To substantiate these three points, I therefore analyse the pragmatic functions of conditionals with past tenses in the protasis and propose a novel typology. Despite the formally stable past tense in the protasis, these conditionals have different pragmatic functions, different temporal references and different formal variations in the matrix clause even with the same function (e.g., direct inferential of past protasis with a potential optative in the matrix clause).

To find parameters to classify conditionals in Ancient Greek, we should make use of more recent advances on conditionals in general linguistics. First, the role of the order of p and q should be taken into account, since a more standard order such as p, q is open to a wider array of pragmatic usages than q, p,¹¹ which, for example, can be used for so-called "metatextual" conditionals that reflect on what has just been said (e.g., *he trapped two mongeese, if mongeese is the right form*).¹² I use arrows to indicate the *pragmatic* direction of the conditional protasis to apodosis or vice versa, indicating the pragmatic relationship between them, as explained in the sections below. Second, the function of the past tenses in past conditionals (esp. counterfactual) has been re-evaluated. Whereas previous studies in what may be called a conceptualist approach¹³ saw the role of the past in counterfactual conditionals as indicating distance from reality, a more pragmatically oriented approach has recently argued the reverse: the reason that the past is typically knowable is why it is used to

¹¹ Dancygier 2006, 145-153.

¹² Ibid. 103-109.

¹³ The conceptualist approach (e.g., JAMES 1982; FLEISCHMAN 1987), is used by Cognitive Grammar (see LANGACKER 1995). For this idea applied to counterfactual past tenses in Ancient Greek, see Allan 2013, 35.

Formal correlations	 attitudinal adverbs negation of <i>p</i> 	 argumentative expressions negation of <i>p</i> indicative or (CF) optative mood in <i>q</i> 	 negation of <i>q</i> contrastive vocabulary indicative or optative mood in <i>q</i> 	 evaluative vocabulary form with directive force performative verb 	 negation of <i>p</i> πότε (if ever) 	 habitual in q (e.g., past+čv)
Temporal range	 past, present, future CF past, present, future 	 past, present CF past, present 	 past, present CF past, present 	 past, present CF present, future 	 past CF present	• past
Illocution	 declarative 	declarativeinterrogative	 declarative assertoric wh-question assertoric yes-no question assertoric open question 	directiveperformativedeclarative	 declarative interrogative exclamative 	 declarative
Order(s)	$\begin{array}{c} p \rightarrow q \\ p \rightarrow p \end{array}$	$\begin{array}{c} d \to b \\ b \leftarrow d \end{array}$	$b \rightarrow d$	$\begin{array}{c} d \rightarrow d \\ b \rightarrow d \end{array}$	$d \rightarrow b$	$p \rightarrow q$
Pragmatic relationship	 causality sequentiality 	 non-causality non-sequentiality 	 non-causality non-sequentiality contradictory or counterfactual implicature 	 condition of appropriateness or relevance for speech act in q 	• comment on how q is said	 generic past situations as frame for habitual q
Conditional type	Predictive	Direct inferential	Indirect inferential	Illocutionary	Metalinguistic	Generic

Table 1: Pragmatic typology of conditionals with past tenses

express counterfactual states of affairs, since the speaker and hearer will realise that the presented past scenario was unrealisable.¹⁴ Thus, the past rather signifies closeness to reality or epistemic proximity. This pragmatic understanding of the past better explains why we find past conditionals being used in various types of inferential conditionals (see section 3) to reason about evidence available to speaker and hearer.¹⁵ Third, I incorporate distinctions from recent more elaborate typologies of conditionals¹⁶ such as types of inferential conditionals, the different implicatures that are generated and the role of the illocutionary force of the *q* clause. In this way we can identify types more accurately in terms of pragmatic criteria which cover formal and functional variation. Of course, we should still aim to maintain a balance between maximalist and minimalist description and not lean towards a too maximalist description,¹⁷ but instead define macro-types based on clear linguistic criteria where subtypes may serve further interpretational purposes.¹⁸ I return to this theoretical matter in the concluding remarks where I suggest how we could apply this typology to conditionals in Ancient Greek more generally.

Now, the typology that I develop in this paper is conceived with a distinct pragmatic angle, meaning that pragmatic value (e.g., function, implicature, illocutionary force) rather than morphosyntactic form (e.g., tense, mood) determines the classification of Ancient Greek conditionals. The most important motivation for this approach is that, as I show, pragmatic usages cut across potential possible world distinctions based on formal marking. This is for example demonstrated by past tenses which are used counterfactually¹⁹ but have different pragmatic usages, e.g., predictive

¹⁴ Dahl 1997; Ziegeler 2000; Van Linden – Verstraete 2008, 1879.

¹⁵ Of course, the (counterfactual) past is also used for politeness. I return to this matter below.

¹⁶ E.g., DECLERCK - REED 2001.

¹⁷ For example, the elaborate typology by DECLERCK – REED 2001 can be viewed as too maximalist, since they further distinguish many pragmatic subtypes which they also divide according their possible world distinctions.

¹⁸ LA ROI 2021 applies this principle to insubordination in the history of Ancient Greek.

¹⁹ A sentence or clause is generally called contrary-to-fact or counterfactual when it is implied or assumed by the speaker that what is said does not hold in the actual world (cf. DECLERCK – REED 2001, 7; DANCYGIER 2006, 25).

and (direct and indirect) inferential. Thus, conditionals with past tenses are a welcome test-case for a broader pragmatic approach to classifying conditionals. After pragmatic classification, I contrast formal and semantic factors to the pragmatic types such as the order of p and q,²⁰ temporal reference of the verb, adverbs, mood and negation. In addition, the typology proposed in this paper has a diachronic dimension which, for example, accounts for changes in temporal references of counterfactual past tenses from the past to the non-past. Earlier classifications mention such diachronic differences between Archaic and Classical Greek conditionals only as peculiarities which are filtered out in Classical Greek.²¹ By contrast, I analyse these peculiarities from a pragmatic perspective and explain their diachronic relevance to the replacement of counterfactual optatives and the so-called "iterative" optative by the past indicative.²²

This paper is organised in the following way. Sections 2 to 6 introduce and describe the different pragmatic types of conditionals with past tenses: predictive (2), direct and indirect inferential (3), illocutionary (4), metalinguistic (5), and generic (6). Every section first introduces the pragmatic type by clarifying the label, detailing its distinctive characteristics and offering a qualitative analysis of examples. I also note how these constructions are classified in existing grammars and existing typologies of conditionals such as those by Sweetser, Wakker, Declerck and Reed, and Dancygier.²³ The choice of a label was determined by its transparency in function as well as its representation in existing linguistic literature. Within each section, I repeat the relevant part of Table 1 for purposes of clarity. Section 7 presents concluding remarks and offers future avenues of research.

²⁰ For discussion of the order of p and q and statistics, see WAKKER 1994, 57-103.

²¹ E.g., WAKKER 1994, 205-214. LA ROI 2022b provides a diachronic analysis for Archaic and Classical Greek.

²² Two diachronic aspects which are beyond the scope of this paper are (i) the insubordinate uses of conditional clauses (e.g., previously subordinate conditional clauses as pragmatically independent wish or directive clauses), for which see LA ROI 2021, and (ii) the diachronic distribution of aspect and temporal reference in counterfactuals, for which see now LA ROI 2022b.

²³ Sweetser 1990; Wakker 1994; Declerck - Reed 2001; Dancygier 2006.

The typology proposed in this paper is based on a corpus-based analysis of conditionals with past tenses in both Archaic and Classical Greek: 99 from Archaic Greek and 874 from Classical Greek, of which, respectively, 95 and 592 were counterfactual. The examples were collected using the *Thesaurus Linguae Graecae* (TLG) and are represented as they are found there.²⁴ The corpus covers Archaic Greek (Homer, Hesiod's *Works and Days* and *Theogony* and the Homeric Hymns) and Classical Greek (the non-fragmentary works by Aeschylus, Sophocles and Euripides and Aristophanes, the histories of Thucydides, Herodotus and Xenophon, the authentic works of Plato and the orators from which we possess the largest amount of speeches, viz. Lysias, Isocrates, Isaeus and Demosthenes).

2. Predictive conditionals with past tenses

Predictive conditionals make a *prediction of actualisation* of the *q* clause, implying that if *p* is realised *q* will be realised,²⁵ e.g., (i) *if it rains, the match will be cancelled*, (ii) *if it rained, the match would be cancelled*, (iii) *if it had rained, the match would have been cancelled*.²⁶ In other words, there is a causality between the actualisation of the events expressed in the conditional and the matrix clause, viz. *p* and *q*. This causality is absent for other conditional types such as *inferential* conditionals (i.e., deduce the truth of a state of affairs from another one, e.g., *if my mother is not mistaken,*

²⁴ See http://stephanus.tlg.uci.edu/. In particular, I refer to the specific line numbers as they are given in the editions in the TLG, following customary practice in Ancient Greek linguistics. The data stem from collocation searches within a set distance (i.e., conditional subordinator and past indicative seven words apart) and subsequently sifting through all the cases. Therefore, I cannot claim to be exhaustive for Classical Greek in its entirety. However, due to my large corpus and broad distance parameters it is expected to cover at least the vast majority of the examples in Classical Greek.

²⁵ Dancygier 2006, 25-61.

²⁶ The reason that I chose the term "predictive" over "predicational" (WAKKER 1994) and "content" (SWEETSER 1990), is that (1) "predictive" is more intuitive in providing the association of causality and sequentiality that predictive conditionals display, and (2) is not closely associated with one linguistic framework as for example "predicational" is with Functional Grammar.

John is at home or John is at home, if my mother is not mistaken).²⁷ In addition, predictive conditionals display temporal sequentiality, meaning that the temporal relationship between p and q is presented as *iconic* of the temporal order of events.²⁸ As a result, the temporal reference²⁹ of predictive conditionals are broad, since their q can follow in the past, present or future. Yet, the main internal distinction of predictive conditionals with past tenses, as shown in Table 2 below, is whether they are counterfactual or not, which is why I will first illustrate predictive conditionals that concern the actual world (A) before I turn to counterfactual predictive conditionals (B).

Table 2: Predictive	conditionals	with 1	past	tenses
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Pragmatic relationship	Order	Illocution	Temporal range	Formal correlations
 causality sequentiality	$\begin{array}{c} p \to q \\ q \leftarrow p \end{array}$	• declarative	 past, present, future CF past, present, future 	 attitudinal adverbs negation of <i>p</i>

(A) In the first example, the prediction of actualisation holds between something which happened in the past and what will therefore happen in the future, i.e., Hector predicts that Achilles having left his former hiding place by the ships will lead to his doom ($\ddot{\alpha}\lambda\gamma\iota ov$... $\ddot{\epsilon}\sigma\sigma\epsilon\tau\alpha\iota$). I use underlining to emphasise the conditional structure in the protasis and apodosis, and italics to highlight relevant contextual elements.

²⁷ With inferential conditionals one might only speak of causality in an epistemic sense, for example that the knowledge of p guarantees the knowledge of q or the reverse. See DANCYGIER 2006, 87.

²⁸ Ibid. 73.

²⁹ Following BERTINETTO – DELFITTO 2000, 190–191, I distinguish between tense, aspect and actionality in order not to confuse the layers of interpretation. These domains crucially need to be kept separate to describe counterfactuals, since counterfactuals may be in the past tense with a perfective aspect but refer to the present (cf. the present-referring aorist discussed by WAKKER 1994, 132–133), thus going against expected past temporal reference for the combination of past tense and perfective aspect, LA ROI 2022b. <u>ei</u> δ' ἐτεόν παρὰ ναῦφιν <u>ἀνέστη</u> δῖος Ἀχιλλεύς,
 ἆλγιον αἴ κ' ἐθέλησι τῷ <u>ἕσσεται</u>. οὖ μιν ἕγωγε φεύξομαι ἐκ πολέμοιο δυσηχέος, ἀλλὰ μάλ' ἄντην στήσομαι, ἤ κε φέρησι μέγα κράτος, ἦ κε φεροίμην
 "But <u>if</u> *in truth* noble Achilles <u>has roused himself to action</u> by the ships, the worse <u>will it be</u> for him, if he is so minded. I certainly will not flee from him out of dolorous war, but face to face will I stand against him, whether he will win great victory, or perhaps I." (HOMERUS, *Ilias*, XVII,305-309 / transl. Murray & Wyatt)³⁰

In my view, ἐτεόν is here inserted by Hector to mark his scepticism about Achilles having left his hiding place. At the same time, he still predicts future doom which underlines his confidence: Hector will cause Achilles' future doom regardless of whether he actually came to action now. After all, as argued by Wakker,³¹ such attitudinal adverbs with the indicative highlight the scepticism that conditionals with the indicative can express, since the indicative marks the reality of the state of affairs as indeterminate rather than a type of factuality. Also, conditionals with the indicative are often used in a resumptive way, taking up what the speaker has been told – as in example (1) – without indicating responsibility for its truth. Examples with the same sequential causal relationship but with the reverse order also exist, for which see example (2). Similarly to the first example, Hector here confidently addresses his fellow fighters and predicts victory. I give the preceding sentence in translation as context to make interpretation for the reader easier.

 (2) ἀλλ' ὀίω χάσσονται ὑπ' ἔγχεος, εἰ ἐτεόν με <u>ώρσε</u> θεῶν ὥριστος, ἐρίγδουπος πόσις Ἅρης.
 "[Not for long will the Achaeans hold me back, though they have arrayed themselves like a wall;] but I think they will give ground before

³⁰ The Greek texts in this paper are taken from TLG (cf. n. 24 above). The English texts are taken from the Loeb translations, available via https://www.loebclassics.com/. I give the names of the translators in brackets following the translation. On the rare occasions where I had to adapt the translation because it was too free, I have added an asterisk after the name of the author to indicate that the original translation has been slightly altered.

³¹ Wakker 1994, 127-128.

my spear <u>if</u> *truly* the highest of gods <u>has urged</u> me <u>on</u>, the loud-thundering lord of Hera." (Номегиs, *Ilias*, XIII,153-154 / transl. Murray & Wyatt)

(B) The remaining predictive conditionals with past tenses are counterfactual. The counterfactuality of such sentences is typically made explicit by the presence of the modal particle in the apodosis (combined with a counterfactual optative or indicative in Archaic Greek, and indicative in Classical Greek).³² They are more frequent than the non-counterfactual predictive conditionals with past tenses just discussed: they make up 58% of Classical Greek counterfactual conditionals (344 out of 592). States of affairs are counterfactual when the condition for realisation is deemed unrealisable or false by the speaker for the past, present or future. Counterfactual conditional sentences can refer to the past, present or future, and present an intimate relationship between two unrealisable events, i.e., the states of affairs in the p and q clause. Although counterfactual state of affairs often concerns events which did not happen (i.e., unrealised past events), they can refer to events which from a logical perspective are realisable in that they follow the moment of speaking, but from the speaker's perspective counterfactual, e.g., uttered in the morning I wish she was coming round tonight. The point of entertaining counterfactual worlds is to stress that they are unrealisable at the moment concerned according to the speaker, e.g., I wish she were coming round = this unfortunately cannot be realised now, or if he had come tomorrow instead of today, he would *have found me at home* = this cannot be realised in the future according to the speaker. In other words, counterfactuals entertain lost possibilities,³³

³² An exception to this rule is provided by the use of counterfactual modal verbs in the matrix clause, e.g., the following indirect inferential: <u>χρῆν</u> σ', <u>εἶπερ ἦσθα μὴ κακός</u>, πείσαντά με γαμεῖν γάμον τόνδ', ἀλλὰ μὴ σιγῆι φίλων – "<u>if you were not a knave</u>, <u>you</u> <u>ought to have</u> gained my consent before making this marriage, not done it behind your family's back" (EURIPIDES, *Medea*, 585–586 / transl. Kovacs). Medea is implying that Jason *is* a knave, since he clearly did not gain consent.

³³ The French term *possibilité perdue* seems particularly apt, cf. WAKKER 1994, 45 and 132 with further references.

even when it is only the speaker who is making it out to be a counterfactual state of affairs.³⁴

The source for the counterfactuality of the conditional is often in the common ground,³⁵ which comprises "the sum of [interlocutors'] mutual, common or joint knowledge beliefs, and suppositions".³⁶ With counterfactual conditionals, the source of counterfactuality is prototypically based on either personal linguistic common ground or (less often) communal common ground meaning that the state of affairs in the conditional conflicts with what both speakers acknowledge to be true. This conflict generates the polarity reversal expressed by counterfactual constructions, giving positive sentences a negative force and a negative sentence a positive force – contrast examples (3) and (4). For this reason, contrary-to-fact is somewhat of a misnomer, since counterfactuals concern what is deemed counterfactual rather than what is logically contrary to reality.³⁷

In example (3), the peasant utters words about Electra knowing well that he is not that man of standing ($\dot{\alpha}\xi$ íωμ' ἔχων ἀνήρ) and therefore undeserving of Electra. As a result, there was no punishment for the murder of Agamemnon in the past (τότε), since Electra did not marry a man of standing in the past. The negative implicature from the past-referring counterfactual conditional clause is transferred to the past-referring counterfactual in the main clause.³⁸

 34 E.g., an indirect inferential counterfactual: *if I were guilty, they would have charged me now* = "having charged me" is false according to the speaker and therefore "me being guilty" is also false.

³⁵ Of course, common ground is not only relevant to counterfactual predictive conditionals, as communal common ground codetermines whether a causal relation holds between p and q in non-counterfactual conditionals or linguistic common ground is often the source for p from which inferential conditionals deduce q.

³⁶ CLARK 1996, 96. Earlier applications of common ground to other domains of Ancient Greek such as particles and moods are Thijs 2017; LA ROI 2020a; LA ROI 2022a; ALLAN 2021.

³⁷ Cf. the useful discussion of this term by VAN EMDE BOAS et al. 2019, 443.

³⁸ For this implicature transfer, see WAKKER 1994, 301; DECLERCK - REED 2001, 107-108.

(3) <u>εἰ γάρ νιν ἔσχεν</u> ἀξίωμ' ἔχων ἀνήρ, εὕδοντ' <u>ἀν ἐξήγειρε</u> τὸν Ἀγαμέμνονος φόνον δίκη τ' <u>ἀν ἦλθεν</u> Αἰγίσθωι τότε.
"For <u>if</u> a man of standing <u>had married</u> her, he <u>would have awakened</u> from its slumber the murder of Agamemnon, and punishment <u>might</u> <u>have come</u> thereafter to Aegisthus." (EURIPIDES, *Electra*, 39-41 / transl. Kovacs)

With a negation,³⁹ such as in example (4), the implication has the reverse effect. With the negated past counterfactual conditional the narrator implies that nightfall did stop the Persians with the result that they left some Magoi alive. Thus, due to the inherent polarity reversal of counterfactuals, negated counterfactual conditionals imply the opposite of the negated affairs that they mark.⁴⁰

(4) <u>εἰ</u> δὲ μὴ νὺξ ἐπελθοῦσα ἔσχε, ἔλιπον ἂν οὐδένα μάγον
 "and <u>if</u> nightfall <u>had</u> not stayed them [scil. the Persians], they would not <u>have left</u> one Magus alive"
 (НЕRODOTUS, Historiae, III,79,11-12 / transl. Godley)

While such past counterfactuals depend on knowledge accepted as true in the common ground, they still display a degree of subjectivity because these conditional structures assign a causal relationship between two state of affairs which either were not realised or could not have taken place. In other words, the supposedly accepted causal link between two counterfactual events can be abused for rhetorical purposes: both events were unrealisable and therefore are, at least to some extent, a source of uncheckable evidence for hearers. To illustrate, in example (4) it could be said that the prediction of causality between nightfall and the Persians' actions is primarily a subjective view of the speaker, i.e., the narrator.

³⁹ See MUCHNOVÁ 2016 for an overview of Ancient Greek negation.

⁴⁰ Cf. DECLERCK - REED 2001, 107-108. In technical terms, counterfactuals display polarity symmetry because positive counterfactuals have a negative and negative counterfactuals have a positive interpretation, see LA ROI forthcomming.

Therefore, the supposed common ground link between the two counterfactual events is abused for the rhetorical purpose of the narrator.⁴¹

Predictive counterfactual conditionals can also predict something for the present. In the next example the nurse apologises to Phaedra for not having been able to find the right solution for her malady. She then extrapolates that if she would in fact have found a solution in the past ($\tilde{\epsilon}\pi\rho\alpha\xi\alpha$), she would now be numbered among the wise ($\tilde{\alpha}v$ $\tilde{\eta}$). In other words, a counterfactual past is used to project a counterfactual present outcome.

(5) <u>εἰ δ' εὖ γ' ἔπραξα</u>, κάρτ' <u>ἀν</u> ἐν σοφοῖσιν <u>ἦ</u>
 "But <u>if I had had success</u>, <u>I would be numbered</u> among the very wise."
 (EURIPIDES, *Hippolytus*, 699-700 / transl. Kovacs*)

Keen observers will also note that the tense-aspect of the counterfactual past tenses here follows the often heard axiom of aorists being used for past counterfactuals and imperfects for present counterfactuals. However, this is an incorrect generalisation: both counterfactual past and presents occur with the aorist, imperfect and the pluperfect in Classical Greek.⁴²

Finally, predictive conditionals can in fact also refer to a counterfactual future, although more rarely. The existence of counterfactual futures is somewhat debated, since some linguists have contended that the fact that the future is inherently unknowable would make it impossible to produce counterfactual predictions for the future.⁴³ As often in linguistics, such a logical view of language does not do justice to its rich

⁴³ E.g., PATARD 2019, 180. See the discussion by DECLERCK – REED 2001, 179–182. By contrast, WAKKER 1994, 158, n. 72 summarises it concisely: "There may be philosophical objections to equating future time and counterfactuality, since, in an absolute sense, it may be impossible to utter any prediction in the knowledge that it will prove false (counterfactual). But what matters for language (and the particular means of expression selected) is the speaker's presupposition at the time of utterance."

⁴¹ See for background on narrators in Ancient Greek literature, DE JONG - BOWIE - NÜNLIST 2004.

⁴² Cf. WAKKER 1994, 146–150; and now LA ROI 2022b with more corpus data. This incorrect generalization still resurfaces in general linguistic descriptions of the Ancient Greek data, e.g., BECK – MALAMUD – OSADCHA 2012; YONG 2018, 190.

possibilities.⁴⁴ Speakers can feel confident enough to make predictions for the counterfactual future, for example: *If you had come tomorrow instead of today, you would have found me at home.*⁴⁵ As noted by Declerck and Reed, counterfactuals for the future depend on the certainty of a plan or arrangement for the future.⁴⁶

Consequently, future counterfactuals typically need clear contextual anchors which allow a speaker to make a confident counterfactual prediction for something to extend into the future. In general, such examples are very rare in Classical Greek sentences.⁴⁷ In conditionals, they are only expressed by using the past future auxiliary $\mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁸ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁹ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁰ In example (6), we find a counterfactual future expressed by $\xi \mu \epsilon \lambda \lambda \omega$.⁴⁰ In example (6), we find a counterfactual future where another court which has the set arrangement to pass judgment. In other words, the conditional expresses a counterfactual future where another court would pass judgment.

(6) <u>εἰ μὲν οὖν ἄλλοι τινὲς ἔμελλον</u> περὶ ἐμοῦ <u>διαγνώσεσθαι</u>, σφόδρα <u>ἀν</u> <u>ἐφοβούμην</u> τὸν κίνδυνον.
 "Now <u>if</u> it were any other court that <u>was to make a decision</u> upon me, <u>I should be terrified</u> by the danger."
 (Lysias, *Orationes*, 3,2,1-2 / transl. Lamb)

⁴⁴ The same logical thinking has affected how linguists have dealt with the category of future marking, cf. MARKOPOULOS 2009, 8–10.

⁴⁵ Dahl 1997, 106-107; Declerck - Reed 2001, 99.

⁴⁶ Ibid. 181: "That #P may be a present intention, plan, programme, arrangement or agreement about the future or another proposition describing the actual world, like the expression of a permanent habit or other kind of state."

⁴⁷ Cf. the future referring imperfect indicative ἔζων with ἀν which expresses an atelic state of affairs: κὰγώ τ' ἀν ἔζων καὶ σὺ τὸν λοιπὸν χρόνον – "you and I would live the remainder of our lives together" (EURIPIDES, *Alcestis*, 295 / Kovacs); LA ROI 2022b.

⁴⁸ Another example is Lysias, *Orationes*, 7,16,2. For the arrangement use of μ έλλω in the past tense, cf. Allan 2017b, 62, n. 37.

3. Direct and indirect inferential conditionals with past tenses

3.1. Classifying inferential conditionals with past tenses

Inferential conditionals⁴⁹ distinguish themselves from predictive conditionals in that they express non-sequential and non-causal relations with the *q* clause. They operate on the epistemic plane between *p* and *q*.⁵⁰ They can be subdivided into (i) direct and (ii) indirect inferential conditionals, the difference being in their (partially implicit) argumentative structure:

- (i) if p true, then q must be true = direct inferential
 (e.g., If my mum is not mistaken, my dad is at home.)
- (ii) if p, then q; q is true, then p must be true = indirect inferential
 (e.g., If you earned as much as you claim you do, you would not go around in that old car.)⁵¹

Thus, direct inferential conditionals use the truth of p to prove q, whereas indirect inferential conditionals use the truth of q to prove p. Both types share that they are a means for speakers to specify the evidential source of their information and avoid part of the Gricean maxim of quality, namely to not say things for which they lack adequate evidence.⁵²

In contrast to Wakker's model where these types fall under the broader type of propositional conditionals, I distinguish these two main subtypes. I also take into account a number of new factors to identify the different subtypes of inferential conditionals: (1) the role of the illocutionary force of the q clause (e.g., declaratives versus various types of rhetorical questions); (2) the type of implicature generated by the p, q combination

⁴⁹ Declerck - Reed 2001, 42-44.

⁵⁰ SWEETSER 1990 calls these conditionals "epistemic conditionals", whereas WAKKER 1994 calls this type "propositional conditionals". I distinguish new subtypes (direct versus indirect inferential conditionals) and take into account more pragmatic factors (e.g., implicature, illocutionary force) than has been done.

⁵¹ Dahl 1997, 109.

⁵² See Wakker 1994, 229.

(e.g., contradictory versus counterfactual); (3) the variation of order of p and q; (4) the temporal reference range; (5) mood; and (6) negation.

3.2. Direct inferential conditionals with past tenses

As for predictive conditionals, the class of direct inferential conditionals with past tenses are (A) non-counterfactual or (B) counterfactual. Most commonly, direct inferential conditionals use evidence from the past to argue for the truth of something in the past – see the non-counterfactual examples (7), (8) and (9). In contrast to indirect inferential conditionals, I found that direct inferential conditionals occur especially with q clauses that have a declarative illocutionary force and only rarely with a q with interrogative illocutionary force.⁵³ Table 3 summarises the features:

Pragmatic relationship	Order	Illocution	Temporal range	Formal correlations
 non-causality non-sequentiality 	$\begin{array}{c} p \longrightarrow q \\ q \leftarrow p \end{array}$	declarativeinterrogative	 past, present CF past, present 	 argumentative expressions negation of <i>p</i> indicative or (CF) optative mood in <i>q</i>

Table 3: Direct inferential conditionals with past tenses

(A) The argumentative goal of non-counterfactual ones may be signalled explicitly by argumentative expressions in the protasis, e.g., $\tau o(\nu v v)$ in example (8) or $\gamma \alpha \rho$ in example (9), or in the apodosis, e.g., $\delta \eta \lambda ov \delta \tau i$ in example (7) or $\epsilon i \kappa \delta \varsigma \tilde{\eta} v.^{54}$ In example (7), the speaker uses Onetor's own past actions to cast doubt on his sincerity and to imply that it was his plan all along to commit fraud.

⁵³ Counterfactual of the type *Why would he have done that, if he did not need the money?*, cf. LYSIAS, *Orationes*, 7,16; or HOMERUS, *Ilias*, XXII,202.

⁵⁴ Other examples of this type are Lysias, *Orationes*, 3,42,8; 8,11,2; 12,57,4; DEMOSTHENES, *Orationes*, 19,42,2; 45,13,4; 57,14,7; PLATO, *Gorgias*, 514c4; or *Respublica*, 408c2.

(7) καὶ τί ποιεῖ; τοὺς ὅρους ἀπὸ τῆς οἰκίας ἀφαιρεῖ, καὶ τάλαντον μόνον εἶναι τὴν προῖκά φησιν, ἐν ῷ τὸ χωρίον ἀποτετιμῆσθαι. καίτοι δῆλον ὅτι τοὺς ἐπὶ τῆς οἰκίας ὅρους εἰ δικαίως ἔθηκεν καὶ ὄντως ἀληθεῖς, δικαίως καὶ τοὺς ἐπὶ τοῦ χωρίου τέθηκεν.
"What, then, does he do? He removes the pillars from the house, and declares that the marriage portion was a talent only, which sum was guaranteed by a mortgage on the land. Yet, if the inscription on the house was set up by him in fairness and sincerity, *it is plain that* the one on the land was also set up with fairness." (DEMOSTHENES, *Orationes*, 31,3,1-5 / transl. Murray*)

In example (8) Herodotus argues that we can deduce what the Attic nation must have done with its language from its past predecessors.

(8) εἰ τοίνυν ἦν καὶ πᾶν τοιοῦτο τὸ Πελασγικόν, τὸ Ἀττικὸν ἔθνος ἐὸν Πελασγικὸν ἅμια τῆ μεταβολῆ τῆ ἐς ἕλληνας καὶ τὴν γλῶσσαν μετέμαθε. "If then all the Pelasgian stock so <u>spoke</u> (scil. a language which was not Greek), then the Attic nation, being of Pelasgian blood, <u>must have changed</u> its language too at the time when it became part of the Hellenes." (HERODOTUS, Historiae, I,57,9-12 / transl. Godley)

Such direct inferential conditionals may also be used to refute a competing version of past events, esp. in Classical Greek rhetoric. In example (9), the speaker refutes the claim by Apollodorus that Phormio would have illegitimately obtained an agreement with Apolodorus' deceased father.

(9) ὅτε γὰρ τὰ μητρῷα πρὸς μέρος ήξίους νέμεσθαι, ὄντων παίδων ἐκ τῆς γυναικὸς Φορμίωνι τουτῷί, τόθ' ὡμολόγεις κυρίως δόντος τοῦ πατρὸς τοῦ σοῦ κατὰ τοὺς νόμους αὐτὴν γεγαμῆσθαι. εἰ γὰρ αὐτὴν εἶχε λαβὼν ἀδίκως ὅδε μηδενὸς δόντος, οὐκ ἦσαν οἱ παῖδες κληρονόμοι, τοῖς δὲ μὴ κληρονόμοις οὐκ ἦν μετουσία τῶν ὄντων.

"For when you claimed the right to distribute your mother's estate share by share – and she had left children by the defendant, Phormio – you then acknowledged that your father had given her with full right, and that she had been married in accordance with the laws. *For* <u>if</u> Phormio <u>had taken</u> her to wife wrongfully, and no one had given her – then the children were not heirs, and if they were not heirs they had no right of sharing in the property." (DEMOSTHENES, Orationes, 36,32,4-10 / transl. Murray)

In addition to direct inferentials situated in the past, we also find direct inferential conditionals that use past evidence as source of evidence for the present.⁵⁵ Crucially, the mood of the matrix clause can be both the present indicative and potential optative, cf. examples (10) – čoruv being conventionally implicit with $\delta\eta\lambda$ ov őru – and (11). Note that mood-based classifications could not accommodate such examples in their classification, but a pragmatically oriented classification can. The argumentative function of the past conditional clause is signalled partially by $\delta\rho\theta\omega\varsigma$, "correctly", and $\delta\eta\lambda$ ov őru, "clear that".⁵⁶

(10) <u>εἰ</u> γὰρ ὀρθῶς ἐλέγομεν ἄρτι, καὶ τῷ ὄντι θεοῖσι μὲν ἄχρηστον ψεῦδος, ἀνθρώποις δὲ χρήσιμον ὡς ἐν φαρμάκου εἴδει, δῆλον ὅτι τό γε τοιοῦτον ἰατροῖς <u>δοτέον</u>, ἰδιώταις δὲ οὐχ <u>ἁπτέον</u>.

"If we were right in what we were saying just now and falsehood really is of no use to the gods, although it is to men in the form of medicine, then *it is clear* that as such we should sanction it for doctors, but laymen should *not* touch it."

(PLATO, Respublica, 389b2-6 / transl. Emlyn-Jones & Freddy*)

In example (11), Cleon summarises his reasoning on why the Athenians should uphold their previous decisions about the Mytilenians.

⁵⁵ Cf. WAKKER 1994, 230, n. 9, who gives some examples of this type. Other examples are THUCIDIDES, *Historiae*, 1,86,1; PLATO, *Respublica*, 389b2; LYSIAS, *Orationes*, 20,12,5 or 20,20,1. A variation on this use is where the speaker treats a past situation as counterfactual (with a concessive conditional), but suggests that the evidence from it does not impair a present state of affairs, see ISAEUS, *Orationes*, 6,44,3; 8,31,7; 9,27,3; 11,23,2; or DEMOSTHENES, *Orationes*, 18,95.

⁵⁶ Other examples can be found at HOMERUS, *Ilias*, VI,128; ISOCRATES, *Orationes*, 15,75,4; DEMOSTHENES, *Orationes*, 19,32,8; 22,7,3; ISAEUS, *Orationes*, 1,21,4; or PLATO, *Cratylus*, 433c3.

(11) εἰ γὰρ οὖτοι ὀρθῶς ἀπέστησαν, ὑμεῖς ἄν οὐ χρεών ἄρχοιτε.
 "for if these people had a right to secede, it would follow that you are wrong in exercising dominion."
 (THUCIDIDES, Historiae, III,40,4,5-6 / transl. Smith)

(B) Furthermore, direct inferential conditionals can occur with a reversed order, but they are counterfactual when they do and refer either to the past or the present. In Archaic Greek, these can be formed with the counterfactual optative or indicative (and the modal particle in the apodosis), whereas in Classical Greek only the counterfactual indicative is used. There are 81 occurrences in Archaic Greek (= 85% of Archaic Greek counterfactual conditionals, 84 in total) vs. 92 in Classical Greek (= 16% of Classical Greek counterfactual conditionals, 173 in total) in my corpus. Such direct inferential conditionals are not temporally iconic and are used for a variety of rhetorical reasons, e.g., steer the expectation of the hearer in example (12), suggest that a counterfactual scenario was on the verge of happening in example (13),⁵⁷ or that something cannot happen now that the counterfactual scenario was averted in example (14).

(12) "Ένθά κεν ὑψίπυλον Τροίην ἕλον υἶες Ἀχαιῶν,
<u>εἰ</u> μή Ἀπόλλων Φοῖβος Ἀγήνορα δῖον <u>ἀνῆκε</u>
φῶτ' Ἀντήνορος υίὸν ἀμύμονά τε κρατερόν τε.
"Then would the sons of the Achaeans <u>have taken</u> high-gated Troy, <u>if</u>
Phoebus Apollo <u>had not roused</u> noble Agenor, Antenor's son, an incomparable warrior and mighty."
(HOMERUS, *Ilias*, XXI,544-546 / transl. Murray & Wyatt)

As discussed by de Jong, Richardson, Lang, and Bouxsein,⁵⁸ these if-not counterfactuals are used by the narrator to steer the expectations of the narratees, not only in the narrative portions of Homeric texts but also in character speech. Moreover, this type of counterfactual conditional is also relevant from a diachronic perspective, for the replacement of the

⁵⁷ For a cross-linguistic study of means to express such a narrowly averted action, see KUTEVA 1998.

⁵⁸ DE JONG 1987; RICHARDSON 1990; LANG 1989; and BOUXSEIN 2020.

counterfactual optative by the counterfactual indicative. Conditionals of this type make use of explicit pragmatic cues (e.g., "if not", but also "but" or "now") to contrast the averted scenario with reality.⁵⁹ They provide a so-called bridging context in which the counterfactual indicative replaces the counterfactual optative, since it can use pragmatic cues to help signal the counterfactuality of the indicative.⁶⁰ A bridging context is a context in which a new target meaning provides a more likely interpretation of the marker than the older source meaning.⁶¹ The reason why we find this bridging context so often is that it is a favourite narratorial strategy of the Homeric narrator to play with the expectations of the audience. Also, we find archaic combinations where the main clause is still in the counterfactual optative such as example (13) and examples such as (12) where the formula has undergone morphological innovation:

(13) καί νύ κεν ἔνθ' ἀπόλοιτο ἄναξ ἀνδρῶν Αἰνείας,
<u>εἰ μη</u> ἄρ' ὀξύ νόησε Διὸς θυγάτηρ Ἀφροδίτη μήτηρ, ἥ μιν ὑπ' Ἀγχίση τέκε βουκολέοντι.
"And now would the lord of men, Aeneas, <u>have perished</u>, <u>had not</u> the daughter of Zeus, Aphrodite, been quick to <u>notice</u>, his mother, who conceived him to Anchises as he tended his cattle."
(HOMERUS, *Ilias*, V,311-313 / transl. Murray & Wyatt)

Furthermore, these conditionals are actually also used in non-narrative settings, as in example (14) to state that Ajax's plan to kill the Argives was only just averted. What Athena says is that she was not negligent and therefore Ajax did not accomplish his plan.

(14) Odysseus ἦ καὶ τὸ βούλευμ' ὡς ἐπ' Ἀργείοις τόδ' ἦν;
Athena κἂν ἐξεπράξατ', εἰ κατημέλησ' ἐγώ.
Odysseus "Was his plan aimed against the Argives?"
Athena "Yes, and he would have accomplished it, had I been negligent."
(SOPHOCLES, Ajax, 44-45 / transl. Lloyd-Jones)

⁵⁹ Note that the following disproving p can also be expressed by other expressions such as a participle, e.g., PLATO, *Protagoras*, 318d8.

⁶¹ Heine 2002, 83-101.

⁶⁰ la Roi 2022b.

Similarly to counterfactual predictive conditionals, counterfactual direct inferential conditionals have diachronically been extended to referring to the present.⁶² In example (15) Chrysothemis rebukes Electra using a direct inferential conditional. He uses this structure to suggest that Electra does *not* think sensibly and *therefore* does not have an agreeable life.⁶³

(15) Chrys. βίου δὲ τοῦ παρόντος οὐ μνείαν ἔχεις;
Electra καλὸς γὰρ οὑμὸς βίοτος ὥστε θαυμάσαι.
Chrys. ἀλλ' <u>ἦν ἄν</u>, εἰ σύ γ' εὖ φρονεῖν <u>ἦπίστασο</u>.
Chrys. "But do you feel no concern for the kind of life you now enjoy?"
Electra "Yes, my life is wonderfully agreeable!"
Chrys. "It <u>would be</u>, <u>if you knew</u> how to think sensibly!"
(SOPHOCLES, *Electra*, 392–394 / transl. Lloyd-Jones)

3.3. Indirect inferential conditionals with past tenses

There is a wider variety of indirect inferential conditionals than has thus far been acknowledged in the literature. Wakker, for example, only discusses a set of "rhetorical" uses of propositional conditionals which would also classify as indirect inferential conditionals (e.g., *If you're the Pope, I'm the Empress of China*, i.e., I'm not the Empress of China so you are not the Pope)⁶⁴ and did not incorporate the relevant factors mentioned above and below.⁶⁵

The indirect inferential type that one finds most often (152 times in my corpus, 26% of Classical Greek counterfactual conditionals) is of the logical structure: p, CF q; now that q = CF, p must be CF as well. This

⁶⁴ Such conditionals are often called *ad absurdum* conditionals, see DAHL 1997, 109; DECLERCK – REED 2001, 296–300.

⁶⁵ Wakker 1994, 231-235.

⁶² la Roi 2022b.

⁶³ For a similar example from philosophical dialogue, see PLATO, *Symposium*, 199d5-7, where Socrates implies that Agathon did not want to give the right answer: εἶπες ἂν δήπου μοι, εἰ ἐβούλου καλῶς ἀποκρίνασθαι ὅτι ἔστιν ὑέος γε ἢ θυγατρὸς ὁ πατὴρ πατήρἢ οὖ; "Surely you would have said, if you wished to give the proper answer, that the father is father of son or of daughter, would you not?" (transl. Emlyn-Jones & Freddy).

usage is not found in Archaic Greek yet. The following example from English exemplifies the complex reasoning behind such conditionals:

- 1. If (as you say) he won the lottery,
 - 2. he would have shared the money with me as his wife.
 - 2. Why would he not have shared the money with me as his wife?
 - 3. Now that he did not, it must be the case that he has not won the lottery.

This type is found both in (A) declarative or (B) interrogative illocutions, as listed in Table 4:

Pragmatic relationship	Order	Illocution	Temporal range	Formal correlations
 non-causality non-sequentiality contradictory or counterfactual implicature 	$p \leftarrow q$	 declarative assertoric wh-question assertoric yes-no question assertoric open question 	 past, present CF past, present 	 negation of q contrastive vocabulary indicative or optative mood in q

Table 4: Indirect inferential conditionals with past tenses

(A) both p and q refer either to the past, as in example (16) and (17), or to the present, as in example (18). In example (16), Menecles' son, who is defending himself and Menecles, uses the indirect inferential to refute the idea that Menecles was not in his right mind when adopting him but under the influence of the son's sister. After all, then Menecles would have adopted one of the boys of the son's sister instead, which he evidently did not because he adopted the son.

(16) ... ὥστ' ἐἰ γ' ἐκείνῃ πεισθεὶς τὸν ὑὸν ἐποιεῖτο, τῶν ἐκείνης παίδων τὸν ἕτερον ἐποιήσατ' ἄν· δύο γάρ εἰσιν αὐτῆ.
"... so that, if it had been under her influence that he was adopting his son, he would have adopted one of the other boys; for she has two." (ISAEUS, Orationes, 2,19,6-8 / transl. Forster)

It is also possible to signal the counterpresuppositional character of the q clause more explicitly by means of negation.⁶⁶ On the other hand, the argumentative reasoning is not made explicit at all times, as in example (17) from a dialogue in Aristophanes, and can be more compressed, as in example (18). In example (17), the speaker refutes the presupposition that he actually is a man with financial means as suggested by the accuser. In this example, the argumentative reasoning is made fairly explicit. This can be seen by how the speaker contrasts this counterfactual scenario to what is actually happening: see the clauses introduced by $d\lambda\lambda'$ où κ , "but not", and vuvì, "now/in fact". In example (18), the speaker's refutation is more implicit.

(17) εἰ γὰρ ἐκεκτήμην οὐσίαν, ἐπ' ἀστράβης ἀν ὡχούμην, ἀλλ' οὐκ ἐπὶ τοὺς ἀλλοτρίους ἵππους ἀνέβαινον: νυνὶ δ' ἐπειδὴ τοιοῦτον οὐ δύναμαι κτήσασθαι, τοῖς ἀλλοτρίοις ἵπποις ἀναγκάζομαι χρῆσθαι πολλάκις. "if I were a man of means, I should ride on a saddled mule, and would not mount other men's horses. But in fact, as I am unable to acquire any-thing of the sort, I am compelled, now and again, to use other men's horses."

(Lysias, Orationes, 24,11,3-7 / transl. Lamb)

(18) εἰ γὰρ πονηρὸν ἦν, Ὅμηρος οὐδέποτ' ἄν ἐποίει τὸν Νέστορ' ἀγορητὴν ἄν, οὐδὲ τοὺς σοφοὺς ἄπαντας.
"<u>If it were</u> something bad, Homer <u>would never have called</u> Nestor, and every other sagacious person, 'man of the agora'." (ARISTOPHANES, Nubes, 1057-1058 / transl. Henderson)

To sum up, indirect inferential conditionals with a declarative main clause are an effective means to combat presuppositions on the part of the hearer(s) by making them look in the mirror of the factual past or

⁶⁶ E.g., δῆλα γὰρ δὴ ὅτι, <u>εἰ μ</u>ὴ αὐταὶ <u>ἐβούλοντο</u>, οὐκ <u>ἀν ἡρπάζοντο</u> - "For plainly, <u>had</u> they *not* <u>wanted</u> it themselves, the women <u>would</u> *never* <u>have been carried away</u>" (HERODOTUS, *Historiae*, I,4,8 / transl. Godley). A similar examples is HERODOTUS, *Historiae*, III,21,11-13.

present (see Table 4 above).⁶⁷ In other words, they are another linguistic means of Classical Greek to challenge what is in the common ground.⁶⁸

(B) In interrogative illocutions, indirect inferential conditionals can be distinguished based on the type of rhetorical question they express, whether they generate a contradictory or counterfactual implicature, and their mood and negation usage. Importantly, in questions, indirect inferential conditionals only occur in so-called assertoric questions. So-called assertoric questions such as rhetorical questions present an affirmative message, i.e., they have the illocutionary force of a declarative.⁶⁹ These indirect inferentials can be subdivided in (a) wh-questions, (b) yes/no-questions and (c) open questions.

(a) Indirect inferentials wh-questions with a non-counterfactual main clause imply a contradiction between the assumption described in the p clause and the second assumption connected to it which together become anomalous, e.g., *If he was working abroad, why would he pay taxes here*?⁷⁰ In other words, the assumptions seem irreconcilable. In example (19), I would argue that the translator Godley accurately represents the rhetorical force of the question, because the question is translated as a declarative sentence. The indirect inferential wh-question⁷¹ signals the contradiction between the assumption that there was once no land for Egypt and their preoccupation with finding out which language was the earliest.

(19) <u>εἰ</u> τοίνυν σφι χώρη γε μηδεμία ὑπῆρχε, <u>τί περιεργάζοντο</u> δοκέοντες πρῶτοι ἀνθρώπων γεγονέναι;

⁶⁷ A good example of an indirect inferential conditional which also has relevance on the level of impoliteness is PLATO, *Euthyphro*, 14b8-c1: πολύ μοι διὰ βραχυτέρων, ὦ Εὐθύφρων, <u>εἰ ἐβούλου</u>, <u>εἶπες ἂν</u> τὸ κεφάλαιον ὦν ἠρώτων· ἀλλὰ γὰρ οὐ πρόθυμός με εἶ διδάξαι – δῆλος εἶ. "<u>You might</u>, <u>if you wished</u>, Euthyphro <u>have answered</u> much more briefly the chief part of my question. But it is plain that you do not care to instruct me." (Lamb)

68 In addition to, e.g., μήν or ἀλλά, see Thijs 2017 and Allan 2017a.

⁶⁹ DECLERCK - REED 2001, 41 and 60.

⁷¹ Examples are Lysias, *Orationes*, 13,57,1; Demosthenes, *Orationes*, 18,72,5; 18,101,9 or 20,127,3.

⁷⁰ Ibid. 303.

"Then if there was once no country for them, it was but a useless thought that they were the oldest nation on earth." (HERODOTUS, *Historiae*, II,15,13-14 / transl. Godley)

Similarly in example (20), the speaker implies that there was no need for the disagreement, since the child would stand to gain something if there were in fact a deal. Thus, the contradiction between the state of affairs in the condition and the main clause implies the reversal of the polarity of the state of affairs contained in the wh-question, i.e., τ í ἔδει question = οὐκ ἔδει declarative.

(20) Φησὶ γὰρ ὁμολογῆσαί με τοῦ κλήρου τῷ παιδὶ τὸ ἡμικλήριον μεταδώσειν, εἰ νικήσαιμι τοὺς ἔχοντας αὐτόν. Καίτοι εἰ μέν τι καὶ αὐτῷ μετῆν κατὰ τὸ γένος, ὡς οὖτος λέγει, τί ἔδει γενέσθαι ταύτην αὐτοῖς παρ' ἐμοῦ τὴν ὁμολογίαν; Ἡν γὰρ ὁμοίως καὶ τούτοις ἐπίδικον τὸ ἡμικλήριον, εἴ περ ἀληθῆ λέγουσιν.

"He declares that I agreed, if I won my case against the present possessors of the estate, to give the child a half-share of the inheritance. Yet <u>if</u> the child <u>had</u> any right to a share in virtue of his relationship, as my opponent declares, <u>what need was there</u> for this agreement between me and them? For the half of the estate was adjudicable to them just as much as to me, if what they say is true."

(ISAEUS, Orationes, 11,24,3-9 / transl. Forster)

I did not find indirect inferential wh-questions⁷² with a counterfactual matrix clause (i.e., past indicative with the modal particle). Its absence might be explained by the fact that counterfactual wh-questions tend to be rhetorical (i.e., assertoric) anyway, e.g., *Who would have thought/ done x*? = nobody would have thought/done x.

(b) Indirect inferential yes/no questions in a similar way use the seemingly contradictory (but not counterfactual) relation between p and q to imply that q is most likely not the case. In example (21), the contradiction between the lark (a songbird representing the generation of Birds

⁷² For a detailed study of Classical Greek wh-clauses, see FAURE 2021.

which are the topic of this play) existing *before* the gods but not having the kingship (a presupposition of q), implies that they would have the kingship: *if X*, *Y*? = *if X*, *then Y should be the case* (but strangely is not the case).

(21)	Peisetaerus	οὔκουν δῆτ', εἰ πρότεροι μὲν γῆς, πρότεροι δὲ θεῶν ἐγένοντο,
		ώς πρεσβυτάτων ὄντων αὐτῶν ὀρθῶς ἐσθ' ἡ βασιλεία;
	Euelpides	νὴ τὸν Ἀπόλλω·
	Peisetaerus	"So if they were born before Earth and before the gods,
		doesn't it follow that the kingship is rightfully theirs by
		primogeniture?"
	Euelpides	"I swear by Apollo!"
	(Aristopha	NES, Aves, 477–479 / transl. Henderson)

Yet, when an indirect inferential yes/no question is counterfactual, it is indicated that the main clause state of affairs is false and therefore the presupposition contained in the preceding conditional is also false. In example (22), the speaker signals that the presupposition "would he not have thought fit" is counterfactual, because the presupposition that the deposition was real and therefore demanded serious attention refutes the presupposition that he would not have summoned friends to help with this deposition. In other words, the rhetorical question "would he not have" actually means *he surely would have*⁷³ and through counterfactual implicature suggests that the deposition was not real, i.e., not p.⁷⁴

(22) Εἶτα ἐπὶ ταύτην ἂν τὴν μαρτυρίαν, εἰ ἦν ἀληθής, οὐκ ἂν ἅπαντας τοὺς οἰκείους τοὺς ἑαυτοῦ παρακαλεῖν ἐκεῖνος ἰξίωσε; Ναὶ μὰ Δία, ὡς ἔγωγε ῷμην, εἶ γε ἦν ἀληθὲς τὸ πρᾶγμα.

"To attest a deposition like this, <u>if it were</u> really true, <u>would he</u> not <u>have</u> <u>thought fit</u> to summon all his own friends? Most assuredly he would have done so, I should have thought, if the deposition had been genuine." (ISAEUS, *Orationes*, 3,24,6-25,2 / transl. Forster)

⁷³ Further examples are Isaeus, *Orationes*, 3,39,1; 7,33,3; DEMOSTHENES, *Orationes*, 27,56,1 or 29,48,1.

⁷⁴ WAKKER 1994, 152 makes some pertinent remarks as to the quantity implicature transfer from p to q. As these contexts show, the implicature can also be transferred in the reverse direction to reverse a presupposition in the p clause.

(c) In open rhetorical questions, as in indirect inferential yes/no questions, we find both contradictory and counterfactual usages. In example (23), the rhetorical question implies the reverse polarity of the polarity marked in the question by $\pi\omega\varsigma$ ov(κ) with the present indicative. Demosthenes uses Aeschines' supposed past actions against him. He points out how they contradict the message which Aeschines is trying to pass off now, namely that the measures were bad. Thus, the rhetorical questions with $\pi\omega\varsigma$ ov(κ) use the contradictory relation between the events in *p* and *q* to strongly imply that the state of affairs in *q* must be the case: *How is/was it not the case that?* = it surely must be/have been the case that.⁷⁵

(23) εἰ μὲν γὰρ παρῆν καὶ μετὰ τῶν ἄλλων ἐξητάζετο, πῶς οὐ δεινὰ ποιεῖ, μᾶλλον δ' οὐδ' ὅσια, εἰ ῶν ὡς ἀρίστων αὐτὸς τοὺς θεοὺς ἐποιήσατο μάρτυρας, ταῦθ' ὡς οὐκ ἄριστα νῦν ὑμᾶς ἀξιοῖ ψηφίσασθαι τοὺς ὀμωμοκότας τοὺς θεούς;

"If he was present as one of the throng, *surely* his behavior is scandalous and even sacrilegious, for after calling the gods to witness that certain measures were very good, he now asks a jury to vote that they were very bad – a jury that has sworn by the gods!"

(DEMOSTHENES, Orationes, 18,217.5-9 / transl. Vince & Vince)

Due to the polarity reversal of rhetorical questions, the indirect inferential open question in example (24) implies the reverse of polarity that the sentence is marked with, meaning that the positive sentence $\pi \tilde{\omega} \varsigma$ $\alpha \tilde{\omega} \varsigma \rho \tilde{\nu}$; ("how was it dishonourable?") means *it surely was not dishonourable* (to associate with him). After all, he associated with him before.

(24) χρῆν γὰρ ὑμᾶς ἢ μὴ κακῶς λέγειν ἢ μὴ ξυνεῖναι, καὶ ταῦτα φανερῶς ἀπειπόντας ὁμιλίαν. εἰ δὲ αἰσχρὸν <u>ἡγεῖσθε</u> τοῦτο, <u>πῶς αἰσχρὸν ἦν</u> ὑμῖν ξυνεῖναι, πρὸς ὃν οὐδὲ ἀπειπεῖν καλὸν ἡγεῖσθε;
"You ought to have refrained either from defaming him or from associating with him, and that by an open renunciation of his company. But if you felt that to be dishonourable, <u>how was it dishonourable</u> for

⁷⁵ Other examples are Isaeus, *Orationes*, 2,27,7; 9,36,8; 11,12,8; or Lysias, *Orationes*, 24,12,1.

you to associate with a man whom you did not even feel it honourable to renounce?" (Lysias, *Orationes*, 8,6,1-4 / transl. Lamb)

Furthermore, we also find this usage with the potential optative to challenge the existence of a possibility much like a negated potential optative in a declarative clause would do.⁷⁶ Yet, functionally, this combination expresses the same pragmatic function, underlining the importance of pragmatics over formal marking.

Finally, we find open indirect inferential questions which are counterfactual and thus argue for the counterfactuality of a presupposition contained in the conditional clause. In example (25), Simonides points out the counterfactuality of the presupposition that despots obtain far fewer pleasures than men of modest means, summarised by $\varepsilon i \gamma \alpha \rho$ o $\delta \tau \omega \varsigma$ $\tau \alpha \delta \tau'$ $\varepsilon i\chi \varepsilon$ "were it so".⁷⁷ He points to the counterfactual implication of such a counterfactual scenario which everyone would agree we observe all around, namely that most people desire the position of the king for its expected pleasures.

(25) <u>ἄπιστα λέγεις</u>, ἔφη ὁ Σιμωνίδης. εἰ γὰρ οὕτως ταῦτ' εἶχε, <u>πῶς ἂν</u> πολλοὶ μὲν ἐ<u>πεθύμουν</u> τυραννεῖν, καὶ ταῦτα τῶν δοκούντων ἰκανωτάτων ἀνδρῶν εἶναι; πῶς δὲ πάντες ἐ<u>ζήλουν ἂν</u> τοὺς τυράννους; "Incredible!" exclaimed Simonides. "Were it so, how should a despot's throne <u>be an object of desire</u> to many, even of those who are reputed to be men of ample means? And how <u>should</u> all the world <u>envy</u> despots?" (ΧΕΝΟΡΗΟΝ, *Hiero*, 1,9/ transl. Marchant & Bowersock)

As a coda to this section we should note that we do not find the reverse order of q, p for indirect inferentials with the past tense. Although the reversed order is not impossible (e.g., *But Superman wouldn't be Superman if he let this kind of injustice happen.*),⁷⁸ the strong preference for p, q can be explained by the fact that indirect inferentials have as their main goal to

⁷⁶ E.g., Lysias, Orationes, 25,14,6. Cf. LA ROI 2019, 72.

⁷⁷ Another example is IsAEUS, Orationes, 3,69,3.

⁷⁸ Declerck - Reed 2001, 45.

let the hearer make an inference about p by virtue of the value of q. As such, p would first need to be established before it can be attacked with q.

4. Illocutionary conditionals

Illocutionary conditionals specify a condition for appropriateness or relevance of the speech act performed in q,⁷⁹ e.g., *If you're thirsty, there is beer in the fridge*.⁸⁰ What distinguishes illocutionary conditionals from predictive or inferential conditionals is the pragmatic relationship with the matrix clause: whereas predictive conditionals express the condition for actualisation and inferential conditionals the condition for the epistemic validity of the matrix clause, illocutionary conditionals specify the circumstances under which the speech act in q can appropriately (e.g., politely) or relevantly take place. As already highlighted by Wakker, the q clauses are not limited to declarative illocutions even though they outnumbered interrogative illocutions in her corpus.⁸¹ Illocutionary conditionals with past tenses are of roughly three subtypes: (a) with a directive q; (b) preceding a performative main clause; (c) following or preceding an evaluative declarative. Table 5 summarises the different subtypes.

	-	1		
Pragmatic relationship	Order	Illocution	Temporal range	Formal correlations
• condition of appropriateness or relevance for speech act in <i>q</i>	$\begin{array}{c} p \longrightarrow q \\ q \leftarrow p \end{array}$	 directive performative declarative	 past, present CF present, future 	 evaluative vocabulary form with directive force

Table 5: Illocutionary	conditionals	with	past	tenses
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⁷⁹ Wakker 1994, 236-256; Wakker 2013.

⁸⁰ As noted by Wakker 1994, 236, n. 18, this type has gone under different headers such as pseudo-conditionals, commentative conditionals, relevance conditionals, speech-act conditionals (cf. COMRIE 1986; SWEETSER 1990; DECLERCK – REED 2001; DANCYGIER 2006).

⁸¹ Wakker 1994, 237.

 performative verb (a) When an illocutionary conditional with a past tense is combined with a directive in the matrix clause, it uses a supposed fact to specify the appropriateness for carrying out the directive in the main clause.⁸² In example (26), Amphitruon has just told king Theseus what horrible thing Heracles has done and here Theseus politely asks Amphitruon to make Heracles uncover himself. In that way Theseus will be able to comfort him and remind him that friendship such as theirs transcends any type of pollution. Thus, the use of the past illocutionary conditional here specifies the appropriateness of Heracles' uncovering, since Theseus comes to sympathise ($\sigma \nu \alpha \lambda \gamma \tilde{\omega} \gamma ~ \tilde{\eta} \lambda \theta \sigma \nu$) and can be seen as a polite hedge to the directive directed at Amphitruon.

(26) ἀλλ', εἰ συναλγῶν γ' ἦλθον, ἐκκάλυπτέ νιν but if I came to sympathise, you have to uncover him! (EURIPIDES, Hercules furens, 1201 / Kovacs*)

A comparable use can also already be found in Archaic Greek. In example (27), Eumaeus tries to reproach Melanthius for kicking Odysseus, but does so with a mix of reproach and prayer. In his prayer, he addresses the Nymphs of the fountain with an illocutionary conditional which specifies the appropriateness of them fulfilling his prayer: the fact that Odysseus burned many pieces of meat for them upon their altar pieces. This implicit recognition is underlined by the adverb $\pi \sigma \tau$ ' which poses a contrast between the possibility that he "ever" did, inviting the scalar implicature that he did that often.

(27) Νύμφαι κρηναΐαι, κοῦραι Διός, <u>εἴ</u> ποτ ' Ὀδυσσεὺς ὕμμ' ἐπὶ μηρί' ἔκηε, καλύψας πίονι δημῷ,

⁸² Note that WAKKER 1994, 255–256 limits her subtype to conditional expressions in the *present* indicative of the type "if you like" with a directive or when accompanying a wish which I do not. For so-called double nature conditionals mixing predictive and inferential or illocutionary qualities and occurring with directives, see ibid. 263–266. For further examples of directive illocutionary conditionals with a past tense, see HERODOTUS, *Historiae*, IV,76,24; VI,85,10; THUCIDIDES, *Historiae*, IV,92,2; ISAEUS, *Orationes*, 1,44,3 or 11,26,1. ἀρνῶν ἡδ' ἐρίφων, τόδε μοι <u>κρηήνατ'</u> ἐέλδωρ, ὡς ἔλθοι μὲν κεῖνος ἀνήρ, ἀγάγοι δέ ἑ δαίμων. "Nymphs of the fountain, daughters of Zeus, <u>if ever</u> Odysseus <u>burned</u> upon your altars pieces of the thighs of lambs or kids, wrapped in rich fat, <u>fulfil</u> for me this prayer; grant that he, my master, may come back, and that some god may guide him." (HOMERUS, *Odyssea*, XVII,240-243 / transl. Murray & Dimock)⁸³

(b) Very similar in usage is the illocutionary conditional with a past tense when used with a performative main clause, as in example (28).

(28) ἐγὼ δὲ τοσαύτην ὑπερβολὴν ποιοῦμαι ὥστε, ἂν νῦν ἔχῃ τις δεῖξαί τι βέλτιον, ἢ ὅλως εἴ τι ἄλλ' ἐνῆν πλὴν ὧν ἐγὼ προειλόμην, ἀδικεῖν <u>ὁμολογῶ</u>. εỉ γὰρ ἔσθ' ὅ τι τις νῦν ἑόρακεν, ὃ συνήνεγκεν ἂν τότε πραχθέν, τοῦτ' ἐγώ φημι δεῖν ἐμὲ μὴ λαθεῖν.

"But I will make a large concession. If even now any man can point to a better way, nay, if any policy whatever, save mine, was even practicable, I plead guilty. If anyone has now discerned any course which might have been taken profitably then, I admit that I ought not to have missed it."

(DEMOSTHENES, Orationes, 18,190,3-7 / transl. Vince & Vince)

Here Demosthenes uses an illocutionary conditional clause twice (once with a past and once with a present tense) to signal the alleged fact which would appropriately make him plead guilty and admit his own wrongdoing, an allegation which he refutes in the subsequent lines. As will be clear to the audience, however, there was no practicable policy in Demosthenes' eyes (illocutionary conditional 1) nor is there anyone now who could discern a more profitable course (illocutionary conditional 2). As such, his performatives are effectively worthless in reality but *pragmatically* a suitable rhetorical stepping stone in his refutation of the idea that his actions fell short.

⁸³ As suggested by LA ROI 2021, this example contains an example of $\dot{\omega}\varsigma$ to introduce an insubordinate wish, but the punctuation by the editor (in contrast to the translation here) does not accurately reflect the independence of this usage. (c) By contrast, example (29) follows an evaluative declarative clause and specifies why the evaluative declaration can be appropriately made.⁸⁴ Pelasgus has been guessing who the suppliant Danaids are and where they are from, but now concludes that it would *not* be proper (see the rare counterfactual use of the modal periphrasis $\delta(\kappa \alpha \omega v \, \tilde{\eta} v)^{85}$ to make more conjectures. In other words, the fact that there is a person present to explain who they are (see the counterfactual illocutionary conditional) is what makes stating that it would not be proper to make more conjectures (= the counterfactual effect of the main clause) improper. Thus, decoding the counterfactual values of the main and conditional clause helps reveal the illocutionary focus of the conditional, since it signals why the main clause can appropriately be uttered.⁸⁶

(29) καὶ τἄλλα πόλλ' ἔτ' εἰκάσαι <u>δίκαιον ἦν</u>, εἰ μὴ παρόντι φθόγγος ἦν ὁ σημανῶν.

> "About other things, too, it <u>would be proper</u> to make many more conjectures, <u>if there were</u> *not* a person here with a voice to explain to me." (AESCHYLUS, *Supplices*, 244–245 / transl. Sommerstein)

⁸⁴ Other examples are DEMOSTHENES, Orationes, 23,161,2; PLATO, Symposium, 215d6-9; Leges, 886e3. Note also that I found an example of what can be called a comparative conditional (HOMERUS, Ilias, XV,724-725: ἀλλ' εἰ δή ῥα τότε βλάπτε φρένας εὐρύοπα Ζεὺς / ἡμετέρας, νῦν αὐτὸς ἐποτρύνει καὶ ἀνώγει - "But if Zeus, whose voice resounds afar, then dulled our senses, now he himself urges and commands"), which WAKKER 1994, 235 subsumes under propositional conditionals, but I, following DECLERK - REED 2001, 330, consider a type of illocutionary conditional because it is used to signal why the content of the main clause is worthy of mentioning, namely because it contrasts with the situation expressed in the conditional clause.

⁸⁵ For the counterfactual use of such evaluative past imperfects, see GOODWIN 1889, 152.

⁸⁶ Also, such examples provide the empirical support to Wakker's suggestion that counterfactual illocutionary conditionals are in theory possible, WAKKER 1994, 120, n. 127.

5. Metalinguistic conditionals

Metalinguistic conditionals with past tense belong to a pragmatic category of conditionals which is new to Ancient Greek linguistics⁸⁷ but exists in conditional typologies in general linguistics.⁸⁸ While they seem similar to illocutionary conditionals to some extent (viz. their concern with the production of the speech act in the *q* clause), what is distinctive about metalinguistic conditionals is that they directly comment on how something is said rather than that something is said/done (i.e., illocutionary conditionals). The metalinguistic comment typically targets an element from the *q* clause. They can be roughly divided into two types: (a) to evaluate a choice of phrasing – example (30) – or (b) to signal disbelief about an element of the main clause – example (31) with a declarative *q*, example (32) with an interrogative *q*, example (33) with an exclamative *q*. Table 6 summarises the features of metalinguistic conditionals in Ancient Greek.

Table 6	5: M	letaling	guistic	conditionals	with	past	tenses
			/				

Pragmatic relationship	Order	Illocution	Temporal range	Formal correlations
• comment on how q is said	$q \leftarrow p$	 declarative interrogative exclamative	 past CF present	 negation of <i>p</i> πότε (if ever)

(a) In example 30 we see how the metalinguistic conditional used by Socrates targets only the part in italics and evaluates his strong wording from the matrix clause (i.e., that he did not care for death).⁸⁹

⁸⁷ Some examples that I discuss below were also discussed by Wakker. However, she merged these examples with larger categories such as propositional and illocutionary conditionals, e.g., example (30) which WAKKER 1994, 252 discusses as an illocutionary conditional.

⁸⁸ DANCYGIER 2006, 103-109 in particular was an advocate of this subtype (which she called "metatextual").

⁸⁹ Another example is PLATO, *Euthydemus*, 283e2.

(30) τότε μέντοι ἐγὼ οὐ λόγῷ ἀλλ' ἔργῷ αὖ ἐνεδειξάμην ὅτι ἐμοὶ θανάτου μὲν μέλει, εἰ μὴ ἀγροικότερον ἦν εἰπεῖν, οὐδ' ότιοῦν.
"Then *I*, however, showed again, by action, not in word only, that I did not care a whit for death if that be not too rude an expression."
(PLATO, Apologia, 32d1-3 / transl. Lamb)

(b) In the other usage the metalinguistic conditional clause expresses disbelief about an element from the main clause (see the italicised elements) uttered by the speaker him/herself, whether the main clause is a (i) declarative, (ii) interrogative or (iii) exclamative illocution. Thus, in examples (31), (32) and (33), the metalinguistic conditional expresses the disbelief of respectively Helen that Agamemnon was ever truly her brother-in-law (31),⁹⁰ of Odysseus that he ever had a son (32), and of Oedipus at his fate (33).⁹¹ Note again that, as we have seen before with the illocutionary conditional in example (27), $\pi \sigma \tau$ ' is used to signal disbelief on the part of the speaker.

(31) δαήφ αὖτ' ἐμός ἔσκε κυνώπιδος, εἴ ποτ' ἔην γε.
"And he <u>used to be</u> my brother-in-law to shameless me, if ever there was such a one."
(HOMERUS, Ilias, III,180 / transl. Murray & Wyatt*)

(32) ἀλλ' ἄγε μοι τόδε εἰπὲ καὶ ἀτρεκέως κατάλεξον, πόστον δὴ ἔτος ἐστίν, ὅτε ξείνισσας ἐκεῖνον σὸν ξεῖνον δύστηνον, ἐμὸν παῖδ', εἴ ποτ' ἔην γε, δύσμορον;
"But come, tell me this, and declare it truly. How many years have passed since you entertained that guest, that unfortunate guest, my son - if he ever was - my ill-fated son?" (HOMERUS, Odyssea, XXIV,287-90 / transl. Murray & Dimock*)

⁹⁰ As explained by KIRK 1985, 290, the phrase expresses nostalgia and regret at how things have changed. He also lists other examples from HOMERUS such as *Ilias*, XI,672; see also *Odyssea*, XV,267.

⁹¹ WAKKER 1994, 234 classifies this example as an obviously realized propositional conditional. As my discussion demonstrates, I do not think that this conditional is used to evaluate the (perhaps obvious) epistemic validity of the *q* clause, but rather, as the previous examples, expresses Oedipus' profound disbelief at his fate.

(33) ὦ μοῖρ', ἀπ' ἀρχῆς ὥς μ' ἔφυσας ἄθλιον καὶ τλήμον', ἐἶ τις ἄλλος ἀνθρώπων ἔφυ.
"O destiny! From the beginning, how you have created me wretched and unhappy, if any mortal ever was;"
(EURIPIDES, *Phoenissae*, 1595–1596 / transl. Kovacs*)

6. Generic conditionals

The last category of conditionals with past tenses occurs more rarely but is, I argue, relevant from the perspective of the diachrony of the mood system in Ancient Greek. In example (34) we see that the past indicative could already be used in Classical Greek to describe a type of generic past generalisation which here describes the non-specific⁹² situation of needing to get something to drink, a situation Philoctetes saw himself faced with in his habitual struggle on the island Lemnos (ταῦτ' ἂν ἐξέρπων τάλας έμηχανώμην).93 I would characterise this conditional as a type of generic condition (as also done in general linguistics).⁹⁴ Thus, the conditional clause here generalises over all those situations and therefore cannot simply be called habitual,95 iterative or iterative-habitual.96 The reasons for this are that habituals express that something took place in the majority of those different occasions (such as he used to work from 9 to 5),97 and iterative refers to repeated occurrence on the same occasion (such as search for keys all morning).98 Moreover, adopting a critical attitude to such terminology pays off in another way: such terminology often perpetuates ideas from grammars written more than a century ago which were based

⁹² PROBERT 2015 has recently argued that such uses found with both relative and conditional clauses are best called an indefinite construction, a term which is particularly strong in Anglo-Saxon grammar descriptions of Ancient Greek. I chose not to use this term, because indefinite has heterogenous descriptive meanings in linguistics (e.g., indefinite article).

⁹³ Some other examples in my corpus are XENOPHON, *Historia Graeca* VI,5,12,8; VII,4,38,7; and *Anabasis*, V,5,14,4.

⁹⁴ Dancygier - Sweetser 2005, 95-102; Dancygier 2006, 63-64.

⁹⁵ Pace VAN EMDE BOAS et al. 2019, 555.

⁹⁶ Pace Allan 2019, 31.

⁹⁷ Dahl 1985 97; la Roi 2020b, 141.

⁹⁸ Bybee – Pagliuca – Perkins 1994, 159.

on linguistic frameworks that are not up-to-date anymore.⁹⁹ The usual order of p and q in this conditional type is iconic of the events that it describes. Table 7 summarises the features of generic conditionals.

Pragmatic relationship	Order	Illocution	Temporal range	Formal correlations
• generic past situations as frame for habitual q	$p \rightarrow q$	• declarative	• past	 habitual in q (e.g., past+ἄν)

Table 7: Generic conditionals with past tenses

(34) πρὸς δὲ τοῦθ', ὅ μοι βάλοι νευροσπαδὴς ἄτρακτος, αὐτὸς ἂν τάλας εἰλυόμην, δύστηνον ἐξέλκων πόδα, πρὸς τοῦτ' ἄν· εἰ τ' ἔδει τι καὶ ποτὸν λαβεῖν, καί που πάγου χυθέντος, οἶα χείματι, ξύλον τι θραῦσαι, ταῦτ' ἀν ἐξέρπων τάλας ἐμηχανώμην· ...

> "and up to what the shaft sped by the bowstring shot for me, alone in my misery I would crawl, dragging my wretched foot, right up to that. And <u>if I had to</u> get some drink also, or perhaps to cut some wood, when ice was on the ground, as it is in winter, <u>I would struggle</u> along in misery and manage it; ..."

(SOPHOCLES, Philoctetes, 289-295 / transl. Lloyd-Jones)

This innovative use of the past indicative overlaps with the use of the so-called iterative optative to describe generic past situations (cf. $\beta \alpha \lambda \omega$ - $\ddot{\alpha} v \epsilon i \lambda v \dot{\omega} \mu \gamma$ in lines 289–291).¹⁰⁰ This usage of past conditionals is not, however, explicitly discussed in our grammars,¹⁰¹ but only given as textual example in the discussion of what is called "iterative $\ddot{\alpha} v$ " in the main clause.¹⁰² Since this usage of the past indicative is an innovation

⁹⁹ Similarly, the past habitual use of čv with the past indicative has incorrectly been classified as "iterative" due to terminology from older grammars, cf. GOODWIN 1889, 56; SCHWYZER – DEBRUNNER 1950, 350; WAKKER 1994, 159; or CRESPO et al. 2003, 286.

¹⁰⁰ la Roi 2022c.

¹⁰¹ For example not by VAN EMDE BOAS et al. 2019, 555, 639-643.

¹⁰² E.g., Kühner - Gerth 1898, 211. An exception is Goodwin 1889, 171-172.

of Classical Greek, its creation should be understood in the light of the functional reorganisation of the optative mood which had already started before Archaic Greek.¹⁰³ The innovative counterfactual indicative has already partially replaced the counterfactual optative in Archaic Greek and fully replaces it in Classical Greek.¹⁰⁴ Since the so-called iterative optative starts to disappear in Post-Classical Greek,¹⁰⁵ I suggest that constructions of the type above are the first signs of the functional limitations on the optative which fully come to the fore in Post-Classical Greek. A parallel development, I think, has taken place in temporal clauses, which in Classical Greek also start to be used innovatively in combination with past habitual main clauses. Similarly, however, such clauses are not discussed explicitly in our standard grammars.¹⁰⁶

(35) ἐγὼ γὰρ <u>ὅτε</u> μὲν ἱππικῆ τὸν νοῦν μόνῃ <u>προσεῖχον</u>, οὐδ' <u>ἀν</u> τρί' εἰπεῖν ῥήμαθ' <u>οἶός τ' ἦν</u> πρὶν ἐξαμαρτεῖν-"Back <u>when I had</u> a one-track mind for horse racing, <u>I couldn't</u> get three words out before I stumbled over them." (ARISTOPHANES, *Nubes*, 1401–1402 / transl. Henderson)

In this example, Phidippides describes how he used to have a one-track mind for horse racing and used to not be able to get three words out before stumbling over them.

7. Concluding remarks

This paper has put forth a novel pragmatic typology of conditionals with past tenses based on pragmatic rather than formal (e.g., mood) or semantic (e.g., temporal reference) criteria. Importantly, I have argued that the different types of conditionals with past tense can be classified more fruitfully and economically in a pragmatic model, because they generalise over

- ¹⁰⁴ See Allan 2013, 40; la Roi 2022b.
- ¹⁰⁵ Schwyzer Debrunner 1950, 335–336; Blass Debrunner 1959, 227.

¹⁰⁶ E.g., VAN EMDE BOAS et al. 2019, 540–542, which only discuss so-called "iterative" optatives in temporal clauses in such contexts.

¹⁰³ See la Roi 2021.

many different formal (e.g., mood) as well as semantic variations (esp. in terms of temporal reference). Building on the findings of this typology, it has been demonstrated that factors which have been characterised as the basic distinctions between conditionals in Ancient Greek by Wakker need revision ("1. the semantic relation between if-clause and main clause: is the conditional clause a predicational, a propositional or an illocutionary one?; 2. the mood chosen; 3. the type of discourse; 4. the time reference").¹⁰⁷ As discussed above, temporal reference and mood are not unique in distinguishing conditionals pragmatically nor does Wakker's typology allow for enough descriptive granularity of conditionals with past tenses.

The key pragmatic criterion to distinguish the types of conditionals is the pragmatic relationship between the conditional and matrix clause. As discussed above, these types allow us to divide the conditionals with past tenses with the largest degree of generalisation possible. Similarly, the syntactic and logical order of p and q is relevant. As Table 1 and the examples discussed above have shown, the pragmatic relationship (indicated by the arrow) of p and q can be determined by a logical relation from p to q(p gives a sequential cause for q = predictive; p gives evidence for truth of q = direct inferential; or p comments on q = metalinguistic) but also from q to p (q provides evidence that p is contradictory or counterfactual = indirect inferential). The illocutionary scope of these types is also revealing. Even though declarative is the basic choice of illocution, conditionals that assert that p is true, contradictory or counterfactual can use various types of assertoric questions. Conditionals dealing with the appropriateness or relevance of the speech act in q may also use different illocutions accordingly.

Pragmatics is also relevant to the diachrony of conditionals, since some types are the instigators of morphosyntactic change: counterfactual direct inferential conditionals in Archaic Greek for the replacement of the counterfactual optative by the indicative,¹⁰⁸ or the generic conditionals in Classical Greek for the replacement of the so-called "iterative" optative.¹⁰⁹ However, the variation in temporal reference does not allow

¹⁰⁷ Wakker 1994, 117.

¹⁰⁸ See la Roi 2022b.

¹⁰⁹ See la Roi 2022c.

us to distinguish the various types due to considerable overlap (esp. in the domain of counterfactual tense usage). This underlines that temporal reference is not a unique characteristic for classification, contrary to classifications by our standard grammars.¹¹⁰ Similarly, the limited list of formal variations cannot be used as exclusive criterion to distinguish the different types (cf. the mood overlap between indicative and optative). A more unique characteristic might actually be negation, since negation reveals a correlation with pragmatic direction, because *p* tends to be negated when there is a logical relation from *p* to *q* (e.g., predictive, direct inferential, metalinguistic) whereas *q* tends to be negated when there is a logical relation from *p* to p (e.g., indirect inferential).

Finally, the pragmatic classification of conditional sentences such as the one presented in this paper could, I would argue, find wider application to Ancient Greek conditionals. In fact, when evaluated retrospectively, Wakker's seminal work has, in my view, already laid the foundations for an extension of the pragmatic approach realised in this paper. First of all, she has shown that there are also indirect inferential conditionals without past tenses, viz. with present or future indicatives, again underlining the primacy of pragmatic function over formal factors.¹¹¹ Second, her rich analysis of illocutionary conditionals¹¹² covers conditionals with a wide range of moods (e.g., present indicative, potential optative, future indicative) but all having an illocutionary function. Just as the typology proposed in this paper, pragmatic function thus covers many formal variations and temporal references. Third and finally, it seems that the choice of mood such as a potential optative may be contextually motivated¹¹³ but still contribute to the same pragmatic function, as is also shown by the use of verbs of volition in different moods to express illocutionary conditionals, e.g., βούλοιο, βούλη or βούλει/εσθε.114

¹¹⁰ Pace Wakker 1994, 117.

¹¹¹ See WAKKER 1994, 232–233; e.g., PLATO, *Phaedrus*, 228a5–6; and ARISTOPHANES, *Equites*, 314–315.

¹¹² Wakker 1994, 236-257.

¹¹³ Cf. WAKKER 2013 and compare the optionality of the optative mood in the main clause of direct and indirect inferential conditionals.

¹¹⁴ See Wakker 1994, 236-267.

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Summary

THE PRAGMATICS OF THE PAST: A NOVEL TYPOLOGY OF CONDITIONALS WITH PAST TENSES IN ANCIENT GREEK

This article argues for a typology of conditionals in Ancient Greek based on pragmatic rather than formal (e.g., mood) or semantic (e.g., temporal reference) criteria. It does so by proposing a novel pragmatic typology of conditionals with past tenses for Archaic and Classical Greek based on a corpus analysis of 973 conditionals. This article distinguishes 6 different pragmatic usages which generalise over mood and temporal variations: predictive, direct inferential, indirect inferential, illocutionary, metalinguistic and generic. They are distinguished by the pragmatic relationship between conditional and matrix clause and its direction, the illocutionary force of the matrix clause (e.g., declarative vs. assertoric/rhetorical question: wh-, yes-no, open) and types of implicature (e.g., contradictory vs. counterfactual). Despite some correlations with the pragmatic types such as order of p and q, pragmatic types cover multiple possible world distinctions based on formal marking such as mood or temporal reference; for example past tenses are used counterfactually but have different pragmatic usages, e.g., predictive, direct and indirect inferential or illocutionary, and temporal references, e.g., past and present. The diachrony of these conditionals also cuts across the pragmatic types, since direct inferential conditionals are a starting point for the replacement of the counterfactual optative by the counterfactual indicative, and generic conditionals with a past tense start to replace the so-called "iterative" optative in Classical Greek and replace it in Postclassical Greek (both of which have been discussed in preceding publications of the author). The article concludes with suggestions for applying this typology to conditionals in Ancient Greek in general.

Keywords: Ancient Greek; conditionals; counterfactuality; implicature; pragmatics

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